

ISLAMABAD STOCK EXCHANGE (GUARANTEE) LIMITED

The Secretary
Islamabad Stock Exchange (G) Ltd.
Islamabad.

NO: _____

DATED: _____

Dear Sir,

APPLICATION FOR APPOINTMENT OF ACCREDITED AGENT/TRADER/BUSINESS/ BRANCH MANAGER

We wish to appoint/renew the appointment of _____ as my Accredited Agent/Trader/Business/Branch Manager w.e.f. _____ for the year _____. He will be doing the business on the Exchange i.e. ISE only on our behalf and exclusively in our name. We shall be responsible for all of his dealings and transactions.

We hereby undertake that no sooner the Agency of this Agent is cancelled the Identity Card of the said agent will be returned to the office of the Exchange.

Thanking you,

Witness: _____

Yours faithfully,

I hereby witness that applicant Member has signed the application in my presence.

Signature of the witnessing Member
and
Rubber Stamp

Authorized Signatory
and
Seal of the Company

PARTICULARS OF THE PROPOSED AGENT/TRADER/BUSINESS/ BRANCH MANAGER

Full Name _____ S/O, _____

Off. Address _____ Tel. No. _____

Permanent Address _____ Tel.No. _____

Present Address _____ Tel.No. _____

Nationality _____ N.I.C.No. _____

Date of Birth _____ Place of Birth _____

Identification mark _____ Blood Group _____

Educational qualification _____

Business experience of shares securities, if any _____

Experience during the last 10 years _____

If a Member or associated in any manner with any:

a) Stock Exchange other than Islamabad Stock Exchange (G) Ltd. name it: _____

b) Other trade or association Name(s) & Address(es) of firms in which he is a partner or proprietor : _____

Bankers, if any _____

I, the applicant for appointment of an Accredited Agent/Trader/Business/ Branch Manager hereby give an undertaking that I am neither a Member nor Broker of any Stock Exchange or Association in Pakistan where dealings in shares and securities are carried on and I further undertake that as long as I am an Agent of Islamabad Stock Exchange I shall not become a Member, Broker or an Agent of any Stock Exchange in Pakistan where dealings in shares and securities are carried on.

SIGNATURES OF THE APPLICANT

SPECIMEN SIGNATURES OF APPLICANT AGENT/TRADER/BUSINESS MANAGER

**SPECIMEN
TO BE SIGNED BY THE PROPOSED AGENT/TRADER/BUSINESS MANAGER**

UNDERTAKING

I, _____ S/O, D/O _____ do hereby declare on solemn affirmation as under:

- 1- I shall maintain strict discipline at all times in the Islamabad Stock Exchange;
- 2- I shall do business on the Exchange in the name of my appointing Member only. I shall not do any business on behalf of any other Member of the Exchange directly in their constituent or on account of their constituents.
- 3- I shall at all times abide by the rules and regulations of the Exchange and will not act or indulge in any activity which might prejudice to the fair name or the interest of the Exchange or my appointing Member or other Members.

DEPONENT

Dated: _____

Following documents should be attached with the application. Incomplete or deficient application would not be entertained.

- a) Two passport size photographs.
- b) Copy of National Identity Card (duly attested by the Member).
- c) Cross cheque of Rs.120/- (Rupees one hundred and twenty only) in favour of Islamabad Stock Exchange.
- d) Undertaking on Non-judicial Stamp Paper of Rs.5/- (duly attested by the Notary Public).
- e) Educational Certificates.
- f) Experience Certificates.
- g) Copy of Registration from SECP (upon receipt.)